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IFW

Attorney Docket No. 5577-357

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Roy Brabson et al.

Confirmation No.: 3354

Application No.: 10/007,446

Group Art Unit: 2132

Filed: December 5, 2001

Examiner: Kristin M. Derwich

For: *Policy-Driven Kernel-Based Security Implementation*

Date: November 15, 2005

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

**PURSUANT TO 37 C.F.R. §1.97(c)**

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the amendment by the U.S. Patent and Trademark Office to 37 C.F.R. § 1.98(a)(2)(ii) effective October 21, 2004.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(c), before final Office Action or Allowance, whichever is earlier.

In accordance with the requirements of 37 C.F.R. § 1.97(c)(2), please charge \$180.00 to Deposit Account No. 09-0461 for the fee specified in 37 C.F.R. § 1.17(p). This amount is believed to be correct. However, the Commissioner is authorized to charge any deficiency or credit any overpayment to Deposit Account No. 09-0461.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. §1.56 and Section 609 of the MPEP.

Respectfully submitted,

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**Certificate of Mailing under 37 CFR 1.8 [or under 37 CFR 1.10 -see above]**

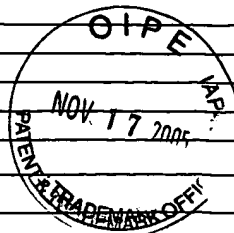
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 15, 2005.

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Sheena L. Donnelly

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Substitute form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	10/007,446	
			Filing Date	December 5, 2001	
			First Named Inventor	Roy Brabson	
			Group Art Unit	2132	
			Examiner Name	Kristin M. Derwich	
			Attorney Docket Number	5577-357	
Sheet	1	of	1		



U.S. PATENTS AND PATENT PUBLICATIONS					
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	1.	US-2002/0116605		Berg	08/2002
	2.	US-2001/0044904		Bert et al.	11/2001
	3.	US-6,412,069		Kasvsan	06/2002
	4.	US-2002/0120842		Bragstad et al.	08/2002
	5.	US-5,974,549		Golan	10/1999
	6.	US-2003/0046532		Gast	03/2003
	7.	US-2003/0079146		Burstein	04/2003
	8.	US-5,845,068		Winiger	12/1998
	9.	US-6,904,519		Anand	06/2005
	10.	US-6,434,620		Boucher	08/2002
	11.	US-6,175,917		Arrow et al.	01/2001
	12.	US-6,094,485		Weinstein et al.	07/2000
	13.	US-5,931,928		Brennan et al.	08/1999
	14.	US-5,764,738		Gillon et al.	06/1998
	15.	US-5,541,920		Angle et al.	07/1996
	16.	US-5,392,357		Bulfer et al.	02/1995
		US-			
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		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office	Number	Kind Code (if known)		

OTHER NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.